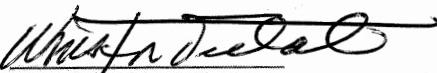


UNITED STATES BANKRUPTCY COURT  
FOR THE PERIOD BEGINNING October 1, 2015 AND ENDING October 31, 2015

IN RE:	}	CASE NUMBER <u>15-01120 (ESL)</u>
UNIVERSAL DOOR AND WINDOW	}	
MANUFACTURE, INC.	}	
a/k/a	}	JUDGE <u>ENRIQUE S. LAMOUTTE</u>
UNIVERSAL DOOR AND WINDOWS	}	
MANUFACTURING, INC.	}	CHAPTER 11
DEBTOR.	}	
	}	

DEBTOR'S MONTHLY OPERATING REPORTS (BUSINESS)  
FOR THE PERIOD  
FROM October 1, 2015 TO October 31, 2015

Comes now the above-named debtor and files its Monthly Operating Reports in accordance with the Guidelines established by the United States Trustee and FRBP 2015.



Attorney for Debtor's Signature

Debtor's Address  
and Phone Number:

Universal Door and Window Manufacture, Inc.  
HC 2 Box 24892  
San Sebastian, PR 00685  
Tel: (939) 273-2479

Attorney's Address  
and Phone Number:

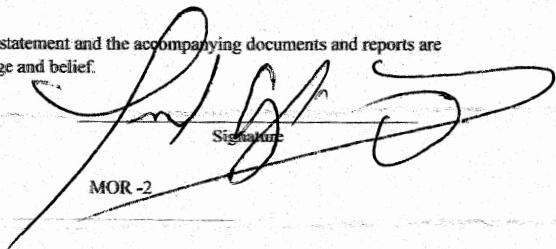
Winston Vidal, Esq.  
USDC-PR 130401  
P.O. Box 193673  
San Juan, PR 00919-3673  
wvidal@prtc.net  
Tel: 787 751-2864/Fax: 787 763-6114

**SCHEDULE OF RECEIPTS AND DISBURSEMENTS****FOR THE PERIOD BEGINNING October 1, 2015 AND ENDING October 31, 2015****Name of Debtor: Universal Door and Window Manufacture, Inc.****Date of Petition: February 19, 2015 Case Number: 15-01120 (ESL)**

	<b>October</b>	<b>CUMMULATIVE</b>
<b>1. CASH AT BEGINNING OF PERIOD</b>	\$ 30.00	\$ -
<b>2. RECEIPTS:</b>		
A. Cash sales	-	-
Less: cash refunds	-	-
Net cash sales	-	-
B. Accounts receivable	-	-
C. Other receipts (see MOR-3) <i>(If you receive rental income, you must attach a rent roll.)</i>	4,340.00	24,265.00
<b>3. TOTAL RECEIPTS <i>(2A+2B+2C)</i></b>	<b>4,340.00</b>	<b>24,265.00</b>
<b>4. TOTAL CASH AVAILABLE FROM OPERATIONS (Line 1 + Line 3)</b>	<b>4,370.00</b>	<b>24,265.00</b>
<b>5. DISBURSEMENTS</b>		
A. Advertising	-	-
B. Bank charges	3.00	18.00
C. Contract labor	-	-
D. Fixed asset payments ( <i>not included in "N"</i> )	-	-
E. Insurance	-	655.00
F. Inventory payments	-	-
G. Leases	-	-
H. Manufacturing supplies	-	-
I. Office supplies	-	-
J. Payroll net ( <i>see attachments 4B</i> )	-	-
K. Professional fees ( <i>Accounting and Legal</i> )	-	10,575.00
L. Rent	-	-
M. Repair and maintenance	-	-
N. Secured creditors payments ( <i>see attachment 2</i> )	4,000.00	12,000.00
O. Taxes paid - Payroll ( <i>see attachment 4C</i> )	-	-
P. Taxes paid - Sales and use ( <i>see attachment 4C</i> )	-	-
Q. Taxes paid - Other ( <i>see attachment 4C</i> )	-	-
R. Telephone	-	-
S. Travel and entertainment	-	-
T. US Trustee quarterly fees	325.00	975.00
U. Utilities	-	-
V. Vehicle	-	-
W. Other operating expenses ( <i>see MOR-3</i> )	-	-
<b>6. TOTAL CASH DISBURSEMENTS</b>	<b>4,328.00</b>	<b>24,223.00</b>
<b>7. ENDING CASH BALANCE <i>(Line 4 - Line 6)</i></b>	<b>\$ 42.00</b>	<b>\$ 42.00</b>

I declare under penalty of perjury that this statement and the accompanying documents and reports are true and correct to the best of my knowledge and belief.

This 23 day of October, 2015



Signature

MOR-2

MONTHLY SCHEDULE OF RECEIPTS AND DISBURSEMENTS (cont.)

Detail of Other Receipts and Other Disbursements

OTHER RECEIPTS:

<u>Description</u>	<u>October</u>	<u>Cummulative Petition to Date</u>
Loan from affiliate	\$ 4,340.00	\$ 24,265.00
	-	-
	-	-
	-	-
TOTAL OTHER RECEIPTS	\$ 4,340.00	\$ 24,265.00

<u>Loan Amount</u>	<u>Source of Funds</u>	<u>Purpose</u>	<u>Repayment Schedule</u>
4,340.00	MIB	Advance	90 days
-			
-			

OTHER DISBURSEMENTS

<u>Description</u>	<u>October</u>	<u>Cummulative Petition to Date</u>
NONE	\$ -	\$ -
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
TOTAL OTHER DISBURSEME	\$ -	\$ -

ATTACHMENT 1

MONTHLY ACCOUNTS RECEIVABLE RECONCILIATION AND AGING

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning October 1, 2015 and Ending October 31, 2015

---

ACCOUNTS RECEIVABLE AT PETITION DATE: \$ 10,000.00

**ACCOUNTS RECEIVABLE RECONCILIATION**

(Include all accounts receivable, pre petition and post petition, including charge card sales which have not been received):

Beginning of Month Balance	\$ -
PLUS: Current Month New Billings	<hr/>
LESS: Collection During the Month	<hr/>
PLUS / LESS: Adjustments or Writeoffs	<hr/>
End of Month Balance	\$ -

---

**POST PETITION ACCOUNTS RECEIVABLE AGING**

(Show the total for each aging category for all accounts receivable)

0-30 Days	31-60 Days	61-90 Days	Over 90 Days	Total
\$ -	\$ -	\$ -	\$ -	\$ -

---

For any receivables in the "over 90 days" category, please provide the following:

<u>Customer</u>	<u>Receivable Date</u>	Status (Collection efforts taken, estimate of collectibility, writeoff, disputed account, ect)
<hr/>	<hr/>	<hr/>

**ATTACHMENT 2**

**MONTHLY ACCOUNTS PAYABLE AND SECURED PAYMENTS REPORT**

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning October 1, 2015 and Ending October 31, 2015

In the space below list all invoices or bills incurred and not paid since the filing of the petition. Do not include amounts owed prior to filing the petition. In the alternative, a computer generated list of payables may be attached provided all information requested below is included.

**POST PETITION ACCOUNTS PAYABLE**

<u>Date Incurred</u>	<u>Days Outstanding</u>	<u>Vendor</u>	<u>Description</u>	<u>Amount</u>
3/31/2015	214	MIB	Operation and Maintenance	\$ 1,555.68
4/30/2015	184	MIB	Operation and Maintenance	1,463.36
5/31/2015	153	MIB	Credit	(442.48)
6/30/2015	123	MIB	Operation and Maintenance	630.10
7/31/2015	92	MIB	Operation and Maintenance	51.65
				3,258.31

Check here if prepetition debts have been paid. Attach an explanation and copies of supporting documentation.

**ACCOUNTS PAYABLE RECONCILIATION (Post Petition Unsecured Debt Only)**

Opening Balance	\$ 3,258.31
PLUS: New Indebtedness Incurred This Month	-
LESS: Amount Paid on Post Petition	-
Accounts Payable This Month	-
PLUS / LESS: Adjustments	-
End of Month Balance	\$ 3,258.31

Explanation and supporting documentation attached for any adjustments or writeoffs:  
April's invoice was amended adding \$325; beginning balance June was incorrect

**SECURED PAYMENTS REPORT**

List the status of payments to secured creditors and lessors (post petition only). If you have entered into a modification agreement with a secured creditor / lessor, consult with your attorney and the United States Trustee. Program prior to completing this section.

<u>Secured Creditor / Lessor</u>	<u>Date Payment Due This Month</u>	<u>Amout Paid This Month</u>	<u>Number of Post Petition Payments Delinquent</u>	<u>Total Amount of Post Petition Payments Delinquent</u>
BPPR		\$ 4,000.00	5	30,000.00
COFFEC		-	8	52,324.64
		-		-
		-		-
		-		-
Current Month		\$ 4,000.00		
(MOR-2 Line 5N)				

ATTACHMENT 3

INVENTORY AND FIXED ASSETS REPORT

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning October 1, 2015 and Ending October 31, 2015

INVENTORY REPORT

INVENTORY BALANCE AT PETITION DATE: \$ 18,000.00

INVENTORY RECONCILIATION:

Inventory Balance at Beginning of Month	\$ 18,000.00
PLUS: Inventory Purchased During Month	
LESS: Inventory Used or Sold	
PLUS / LESS: Adjustments or Writedowns	
Inventory on Hand at End of Month	\$ 18,000.00

METHOD OF COSTING INVENTORY : Estimated Fair Value

For any adjustments or writedowns provide explanation and supporting documentation:

INVENTORY AGING

Less than 6 Months old	6 Months to 2 Years Old	Greater Than 2 Years Old	(Total Inventory)
%	%	100%	% 100%

Check here if any inventory contains perishable.

Description of obsolete inventory: N/A

FIXED ASSET REPORT

FIXED ASSETS FAIR MARKET VALUE AT PETITION DATE : \$ 1,815,500.00  
(Includes Property, Plant and Equipment)

BRIEF DESCRIPTION (First Report Only) : 3 LOTS In Bo. Guatemala, San Sebastian: lot of 686.37 meters 2 , lot of 14,863.727 meters2, and a lot of 5,215.69 meters2; file cabinets, furniture and computers; 3 cranes 5 tons each.

FIXED ASSETS RECONCILIATION:

Fixed Asset Book Value at Beginning of Month	\$ 935,266.46
LESS: Depreciation Expense	(3,683.91)
PLUS: New Purchases	
PLUS / LESS: Adjustments or Writedowns	213,663.85
Fixed Asset Ending Monthly Balance	\$ 1,145,246.40

For any adjustments or writedowns provide explanation and supporting documentation. In prior months the depreciation being taken was the amount per year instead of monthly.

BRIEF DESCRIPTION OF FIXED ASSETS PURCHASED OR DISPOSED OF DURING THE REPORTING PERIOD: NONE

**ATTACHMENT 4A**

**MONTHLY SUMMARY OF BANK ACTIVITY - OPERATING ACCOUNT**

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning October 1, 2015 and Ending October 31, 2015

Attach a copy of current month bank statement and bank reconciliation to this summary of bank activity. If bank accounts other than the three required by the United States Trustee program are necessary, permission must be obtained from the United States Trustee prior to opening the accounts

NAME OF BANK : BANCO POPULAR DE PR SAN SEBASTIAN

ACCOUNT NAME : GENERAL 079-485898

PURPOSE OF ACCOUNT : OPERATING

Ending Bank Balance per Bank Statement	<u>42.00</u>
PLUS: Total Amount of Outstanding Deposits	<u>-</u>
LESS: Total Amount of Outstanding Checks and other debits	<u>-</u>
LESS: Service Charges	<u>-</u>
Ending Balance per Check Register	<u>42.00</u>

Debit cards used by: N/A

If closing balance is negative, provide explanation : \_\_\_\_\_

The following disbursements were paid in cash (do not include items reported as Petty Cash on Attachment 4D)

Check here if cash disbursements were authorized by United States Trustee

**Date**    **Amount**    **Payee**              **Purpose**

NONE

**TRANSFERS BETWEEN DEBTOR IN POSSESSION ACCOUNTS**

\$ N/A Transferred to Payroll Account

\$ N/A Transferred to Tax Account

## Bank Reconciliation - Post Petition Account

UNIVERSAL DOOR AND WINDOW MANUFACTURE, INC.

Banco Popular #079-485898

Date: 31-Oct-15

		Outstanding Checks			
		CK #	Amount	Date	Payee
General Ledger Account	\$ 30.00				
Balance					
Add Credits:					
Deposits	\$ 4,340.00				
Transfer	-				
Check Reversal	-				
TOTAL CR.	4,340.00				
<b>TOTAL</b>	4,370.00				
Less Debits:					
Disbursements	\$ 4,325.00				
Bank Charges	3.00				
Payroll Fees					
Finance Charges					
ZBA Debit Transfer					
Adjustment	-				
Deposit Item Return					
TOTAL DR.	4,328.00				
<b>Bank Balance - PER</b>					
<b>General Ledger Account</b>	\$ 42.00				
Balance Per Bank Statement	\$ 42.00				
31-Oct-15					
Add Deposits in Transit:	0.00				
Total in Transit	0.00	-			
Less Checks Outstanding:					
(See List)	0.00				
TOTAL	0.00	-			
<b>Bank Balance- Per Reconciliation</b>	\$ 42.00				
Difference:	\$ -			<b>TOTAL</b>	\$ -

BANCO POPULAR  
550  
UNIVERSAL DOOR WINDOW MANUFACTURE INC  
HC 2 BOX 24892  
SAN SEBASTIAN PR 00685-9316

Desde:  
01 de octubre de 2015  
Hasta:  
30 de octubre de 2015

BANKRUPTCY COURT

Página 1

Número de Cuenta  
**079-485898**UNIVERSAL DOOR WINDOW  
MANUFACTURE INC**Resumen de su Cuenta****Cheques**

Balance Inicial	\$30.00
02 Depósitos	+ 4,340.00
02 Retiros	- 4,325.00
Cargos por Servicios	- 3.00
<b>Balance Final</b>	<b>\$42.00</b>

Recibe GRATIS en tu correo electrónico tu Estado de Cuenta comercial!!! Llame al 787-756-3939 ó 1-855-756-3939.

Ahora TeleBanco Comercial es el nuevo Centro de Banca de Negocios y nuestro nuevo número es el 787-756-3939 ó 1-855-756-3939.

**CENTRO DE BANCA DE NEGOCIOS** le ofrece la forma más rápida y eficiente de obtener información de sus cuentas comerciales y servicios relacionados sin tener que visitar la sucursal.

Usted puede obtener información de:

- Balance en sus cuentas
- Productos y servicios comerciales
- Préstamos Comerciales
- Soluciones de crédito
- Todo tipo de reclamaciones
- Apoyo técnico en los servicios

**Detalle de la actividad de su Cuenta****Cheques**

<i>Balance inicial</i>	<b>\$30.00</b>
------------------------	----------------

**Depósitos****Hojas de Depósito**

Fecha	Referencia	Descripción	Cantidad
10-15	79022610	Depósito Sucursal San Sebastián	4,000.00
10-19	79094013	Depósito Sucursal San Sebastián	340.00
<b>02 Total de hojas de depósito</b>			<b>\$4,340.00</b>
<b>02 Total de depósitos</b>			<b>\$4,340.00</b>

**Retiros****Cheques Pagados**

Número de Cheque	Fecha	Referencia	Cantidad	Número de Cheque	Fecha	Referencia	Cantidad
00108	10-16	500157675	4,000.00	00109	10-27	501042879	325.00
<b>02 Cheques Pagados</b>							<b>\$4,325.00</b>
<b>02 Total de retiros</b>							<b>\$4,325.00</b>

**Cargos por Servicios**

Descripción	Cargos por Unidad	Cantidad
Mantenimiento Cheques		3.00
02 Transacciones en Exceso de	0	0.00
<b>Total de Cargos para este Periodo</b>		<b>\$3.00</b>
<b>Balance Final</b>		<b>\$42.00</b>

01 de octubre de 2015  
15:38:41 Desc. Main  
Hasta:  
30 de octubre de 2015

BANKRUPTCY COURT

Página 2

Número de Cuenta  
**079-485898**

UNIVERSAL DOOR WINDOW  
MANUFACTURE INC

## *Historial de Balance Diario*

Fecha	Cantidad en Libro	Cantidad Disponible	Fecha	Cantidad en Libro	Cantidad Disponible
10-01	30.00	30.00	10-19	370.00	370.00
10-02	30.00	30.00	10-20	370.00	370.00
10-05	30.00	30.00	10-21	370.00	370.00
10-06	30.00	30.00	10-22	370.00	370.00
10-07	30.00	30.00	10-23	370.00	370.00
10-08	30.00	30.00	10-26	370.00	370.00
10-09	30.00	30.00	10-27	45.00	45.00
10-13	30.00	30.00	10-28	45.00	45.00
10-14	30.00	30.00	10-29	45.00	45.00
10-15	4,030.00	4,030.00	10-30	42.00	42.00
10-16	30.00	30.00			

Su balance mínimo durante este período fue: \$30.00

*Su próximo estado será el 30 de noviembre de 2015*

## Mensajes de Interés

EL CONTRATO DE CUENTAS DE DEPÓSITO COMERCIALES FUE ENMENDADO PARA INCLUIR LAS DISPOSICIONES GENERALES DE LOS SERVICIOS COMERCIALES. LA ENMIENDA LA PODRÁ CONSEGUIR EN LAS SUCURSALES DE BANCO POPULAR.

DE TENER ALGUNA PREGUNTA O IDENTIFICAR ALGÚN ERROR EN ESTE ESTADO DE CUENTA DEBE  
COMUNICARSE AL CENTRO DE BANCA DE NEGOCIOS AL 787-756-3939 O 1-855-756-3939 DENTRO DEL  
TÉRMINO ESTABLECIDO EN EL CONTRATO DE CUENTAS DE DEPÓSITO COMERCIALES.

SP1006.003.103472015-0000005580

**ATTACHMENT 5A**

## **CHECK REGISTER - OPERATING ACCOUNT**

**Name of Debtor:** Universal Door and Window Manufacture, Inc.      **Case Number:** 15-01120 (ESL)

**Reporting Period Beginning October 1, 2015 and Ending October 31, 2015**

NAME OF BANK : BANCO POPULAR DE PR SAN SEBASTIAN

ACCOUNT NAME : GENERAL

ACCOUNT NUMBER: 079-485898

PURPOSE OF ACCOUNT : OPERATING

Account for all disbursements, including voids, lost checks, stop payments, etc. In the alternative, a computer generated check register can be attached to this report, provided all the information requested below is included.

**ATTACHMENT 4B**

**MONTHLY SUMMARY OF BANK ACTIVITY - PAYROLL ACCOUNT**

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning October 1, 2015 and Ending October 31, 2015

Attach a copy of current month bank statement and bank reconciliation to this Summary of Bank Activity.

NAME OF BANK : \_\_\_\_\_

BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

ACCOUNT NUMBER: \_\_\_\_\_

PURPOSE OF ACCOUNT : PAYROLL

Ending Bank Balance per Bank Statement	\$ _____ -
PLUS: Total Amount of Outstanding Deposits	_____ -
LESS: Total Amount of Outstanding Checks and other debits	_____ -
LESS: Service Charges	_____ -
Ending Balance per Check Register	<u>NONE</u> -

**Debit cards must not be issued on this account**

If closing balance is negative, provide explanation : \_\_\_\_\_

The following disbursements were paid in cash (do not include items reported as petty cash on attachment 4D)

Check here if cash disbursements were authorized by United States Trustee

<u>Date</u>	<u>Amount</u>	<u>Payee</u>	<u>Purpose</u>	<u>Reason for cash disbursements</u>
-------------	---------------	--------------	----------------	--------------------------------------

The following non-payroll disbursements were made from this account:

<u>Date</u>	<u>Amount</u>	<u>Payee</u>	<u>Purpose</u>	<u>Reason for cash disbursements</u>
-------------	---------------	--------------	----------------	--------------------------------------

**ATTACHMENT 5B**

## **CHECK REGISTER - PAYROLL ACCOUNT**

**Name of Debtor:** Universal Door and Window Manufacture, Inc.    **Case Number:** 15-01120 (ESL)

**Reporting Period Beginning October 1, 2015 and Ending October 31, 2015**

NAME OF BANK : \_\_\_\_\_ BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

ACCOUNT NUMBER: \_\_\_\_\_

PURPOSE OF ACCOUNT : PAYROLL

Account for all disbursements, including voids, lost checks, stop payments, etc. In the alternative, a computer generated check register can be attached to this report, provided all the information requested below is included.

**ATTACHMENT 4C**

**MONTHLY SUMMARY OF BANK ACTIVITY - TAX ACCOUNT**

Name of Debtor: Universal Door and Window Manufacture, Inc. Case Number: 15-01120 (ESL)

Reporting Period Beginning October 1, 2015 and Ending October 31, 2015

Attach a copy of current month bank statement and bank reconciliation to this Summary of Bank Activity.

NAME OF BANK : \_\_\_\_\_

BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

ACCOUNT NUMBER: \_\_\_\_\_

PURPOSE OF ACCOUNT : TAX \_\_\_\_\_

Ending Bank Balance per Bank Statement	\$ -
PLUS: Total Amount of Outstanding Deposits	-
LESS: Total Amount of Outstanding Checks and other debits	-
LESS: Service Charges	-
Ending Balance per Check Register	NONE -

**Debit cards must not be issued on this account**

If closing balance is negative, provide explanation : \_\_\_\_\_

The following disbursements were paid in cash (do not include items reported as petty cash on attachment 4D)

Check here if cash disbursements were authorized by United States Trustee

<u>Date</u>	<u>Amount</u>	<u>Payee</u>	<u>Purpose</u>	<u>Reason for cash disbursements</u>
-------------	---------------	--------------	----------------	--------------------------------------

The following non-tax disbursements were made from this account:

<u>Date</u>	<u>Amount</u>	<u>Payee</u>	<u>Purpose</u>	<u>Reason for cash disbursements</u>
-------------	---------------	--------------	----------------	--------------------------------------

**ATTACHMENT 5C**

## **CHECK REGISTER - TAX ACCOUNT**

**Name of Debtor:** Universal Door and Window Manufacture, Inc.    **Case Number:** 15-01120 (ESL)

**Reporting Period Beginning October 1, 2015 and Ending October 31, 2015**

NAME OF BANK : \_\_\_\_\_ BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

BRANCH: \_\_\_\_\_

ACCOUNT NAME : \_\_\_\_\_

ACCOUNT NUMBER: \_\_\_\_\_

Account for all disbursements, including voids, lost checks, stop payments, etc. In the alternative, a computer generated check register can be attached to this report, provided all the information requested below is included.

MOR-12

**ATTACHMENT 4D**

**INVESTMENT ACCOUNTS AND PETTY CASH REPORT**

**INVESTMENT ACCOUNT**

Each savings and investment account, i.e. certificates of deposits, money market accounts, stocks and bonds, etc. should be listed separately. Attach copies of account statements.

Type of Negotiable Instrument	Face Value	Purchase Price	Date of Purchase	Current Market Value
		NONE		-
				-
				-
TOTAL			\$	-

**PETTY CASH REPORT**

The following petty cash drawers / accounts are maintained

Location of box/account	Column 2 <u>Maximum amount of cash in drawer/account</u>	Column 3 <u>Amount of petty cash on hand at end of month</u>	Difference between <u>column 2 and 3</u>
	-	\$ -	\$ -
		NONE	
TOTAL		\$ -	

For any petty cash disbursements over \$100 per transaction, attach copies of receipts. If there are no receipts, provide an explanation:

\_\_\_\_\_  
\_\_\_\_\_

TOTAL INVESTMENT ACCOUNTS AND PETTY CASH \$ -

## **ATTACHMENT 6**

## MONTHLY TAX REPORT

**Name of Debtor:** Universal Door and Window Manufacture, Inc.    **Case Number:** 15-01120 (ESL)

**Reporting Period Beginning October 1, 2015 and Ending October 31, 2015**

### **TAXES OWED AND DUE**

Report all unpaid post petition taxes including Federal and State withholding FICA, State sales tax, property tax, unemployment tax, State workmen's compensation, etc.

**ATTACHMENT 7**

## **SUMMARY OF OFFICER OR OWNER COMPENSATION**

## **SUMMARY OF PERSONNEL AND INSURANCE COVERAGES**

**Name of Debtor:** Universal Door and Window Manufacture, Inc. **Case Number:** 15-01120 (ESL)

**Reporting Period Beginning October 1, 2015 and Ending October 31, 2015**

Report all forms of compensation received by or paid on behalf of the Officer or Owner during the month. Include car allowances, payments to retirement plans, loan repayments, payments of Officer/Owner's personal expenses, insurance premium payments, etc. Do not include reimbursement for business expenses Officer or Owner incurred and for which detailed receipts are maintained in the accounting records.

<u>Name of Officer or</u>	<u>Title</u>	<u>Payment description</u>	<u>Amount Paid</u>
		NONE	

## PERSONNEL REPORT

	Full Time	Part Time
Number of employees at beginning of period	NONE	NONE
Number hired during the period	-	-
Number terminated or resigned during period	-	-
Number of employees on payroll at end of period	NONE	NONE

## **CONFIRMATION OF INSURANCE**

List all policies of insurances in effect, including but not limited to workers' compensation, liability, fire, theft, comprehensive, vehicle, health and life. For the first report, attach copy of the declaration sheet for each type of insurance. For subsequent reports, attach a certificate of insurance for any policy in which a change occurs during the month (new carrier, increased policy limits, renewal, etc.).

<u>Agent and / or carrier</u>	<u>Phone number</u>	<u>Policy number</u>	<u>Coverage type</u>	<u>Expiration date</u>	<u>Date premium due</u>
Multinational Insurance Co.		88-CLP-000306960-5/000	General Liability	03/26/16	
Multinational Insurance Co.		88-CLP-000306960-5/000	Property	03/26/16	

**The following lapse in insurance coverage occurred this month:**

<u>Policy type</u>	<u>Date lapse</u>	<u>Date reinstated</u>	<u>Reason for lapse</u>
NONE			

Check here if US Trustee has been listed a Certificate Holder for all insurance policies.

**ATTACHMENT 8**

## **SIGNIFICANT DEVELOPMENTS DURING REPORTING PERIOD**

Information to be provided on this page, includes, but not limited to: (1) financial transactions that are not reported on this report, such as the sale of real estate ( attach closing statement ); (2) non financial transactions, such as the substitution of assets or collateral; (3) modifications to loan agreements; (4) change in senior management, etc.

Attach any relevant documents:

The plan of reorganization and disclosure statement was filed on

September 10, 2015

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